### FORM PTO-1449

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
APPLICANT: Laurent Bellon et al.	
FILING DATE:	GROUP:

XAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
JP	AA	5,625,047	04/29/97	Been et al.		,	
ı	AB	4,987,071	01/22/91	Cech et al.			•
	AC	5,631,359	05/20/97	Chowrira et al.			
	AD	5,334,711	08/02/94	Sproat et al.		-1-1	

								TRANSI	ATION
EXAMINER INITIAL		DOCUMENT NUMBER	DATĖ	COUNTRY	CLAS	s	SUB CLASS	YES	NO
JР	AE	96/19577	06/27/96	WO/PCT (Collins et al.)			1		
	AF	92/07065	9/28/91	WO/PCT (Eckstein et al.)		$\top$			
	AG	0 360 257	03/28/90	EPO (Hampel)	$\Box$	$\top$			
	AH	91/03162	03/21/91	WO/PCT (Rossi et al.)		+			
	AI	95/23225	08/31/95	WO/PCT (Stinchcomb et al.)		1			
	ΑĴ	93/15187	08/05/93	WO/PCT (Usman et al.)		$\top$			

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
JP .	AK	Beigelman et al., "Chemical Modification of Hammerhead Ribozymes," J. Biol. Chem. 270:25702-25708 (1995)
Ĺ	AL	Burgin et al., "Chemically Modified Hammerhead Ribozymes with Improved Catalytic Rates,"  Biochemistry 35:14090-14097 (1996) (volume no mistakenly listed as 6)
	AM	Burke et al., "Structural Analysis and Modifications of the Hairpin Ribozyme," <u>Nucleic Acids and Molecular Biology</u> , edited by Eckstein and Lilley, Springer-Verlag Berlin Heidelberg, 10:129-143 (1996)
	AN	Cech et al., "Representation of the secondary and tertiary structure of group I introns," nature structural biology 1:273-280 (1994)
	AO	Cech, "Ribozymes and Their Medical Implications," JAMA 260:3030-3034 (1988)
	AP	Christoffersen and Marr, "Riobozymes as Human Therapeutic Agents," J. Med. Chem. 38:2023-2037 (1995)
	AQ	Collins and Olive, "Reaction Conditions and Kinetics of Self-Cleavage of a Ribozyme Derived From Neurospora VS RNA," Biochemistry 32:2795-2799 (1993)
	ÁR	Forster and Altman, "External Guide Sequences for an RNA Enzyme," Science 249:783-786 (1990)
	AS	Gusparutto et al., "Chemical synthesis of a biologically active natural tRNA with its minor bases,"  Nucleic Acids Research 20(19):5159-5166 (1992)
V	ΑΤ	Guerrier-Takada et al., "The RNA Moiety of Ribonuclease P Is the Catalytic Subunit of the Enzyme," Cell 35:849-857 (1983)

EXAMINER:	/Jennifer Pitrak/	DATE CONSIDERED:	03/05/2007	
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.				

#### FORM PTO-1440

# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	
APPLICANT: Laurent Bellon et al.	,	
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		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		Guo and Gollins, "Efficent trans-cleavage of a stem-loop RNA substrate by a ribozyme derived
JP	ΑU	from Neuraspara VS RNA." EMBO J. 14:368-376 (1995)
<del></del>		Hampel and Tritz, "RNA Catalytic Properties of the Minimum (-)sTRSV Sequence," Biochemistr
	A۷	28:4929-4933 (1989)
-		Hampel et al., "Hairpin' Catalytic RNA Model: Evidence for Helices and Sequence Requirement
1 1	ΑW	for Substrate RNA." Nucleic Acids Research 18:299-304 (1990)
+		Haseloff and Gerlach, "Simple RNA Enzymes with New and Highly Specific Endoribonuclease
1 1	ΑX	Activities," Nature 334:585-591 (1988)
		Hogrefe et al., "Effect of excess water on the desilylation of oligoribonucleotides using
1	ΑY	tetrabutylammonium fluoride." Nucleic Acids Research 21:4739-4741 (1993)
		Jeffries and Symons, "A Catalytic 13-mer Ribozyme," Nucleic Acids Research 17:1371-1377
1 1	ΑZ	(1989)
		Kim and Cech, "Three-dimensional model of the active site of the self-splicing rRNA precursor o
	BA	Tetrahymena," Proc. Natl. Acad. Sci. USA 84:8788-8792 (1987)
		Limbach et al., "Summary: the modified nucleosides of RNA," Nucleic Acids Research
	BB	22(12):2183-2196 (1994)
-1	BC	Pace and Smith, "Ribonuclease P: Function and Variation," J. Biol. Chem. 265:3587-3590 (1990)
-1		Perreault et al., "Mixed Deoxyribo- and Ribo-Oligonucleotides with Catalytic Activity," Nature
	BD	344:565-567 (1990)
	~	Perreault et al., "Relationship between 2'-Hydroxyls and Magensium Binding in the Hammerhead
	BE	RNA Domain: A Model for Ribozyme Catalysis," Biochemistry 30:4020-4025 (1991)
1		Perrotta and Been, "Cleavage of Oligoribonucleotides by a Ribozyme Derived from the Hepatitis
	BF	Virus RNA Sequence," Biochemistry 31:16-21 (1992)
1-1		Pieken et al., "Kinetic Characterization of Ribonuclease-Resistant 2'-Modified Hammerhead
	BG	Ribozymes," <u>Science</u> 253:314-317 (1991)
	DII	Pyle et al., "Building a Kinetic Framework for Group II Intron Ribozyme Activity: Quantitation
ŀ	вн	of Interdomain Binding and Reaction Rate," Biochemistry 33:2716-2725 (1994)
	BI	Rossi et al., "Ribozymes as Anti-HIV-1 Therapeutic Agents: Principles, Applications, and
	ы	Problems," Aids Research and Human Retroviruses 8:183-189 (1992)
	BI	Saville and Collins, "A Site-Specific Self-Cleavage Reaction Performed by a Novel RNA In
		Neurospora Mitochondria," <u>Cell</u> 61:685-696 (1990)
T	BK	Saville and Collins, "RNA-Mediated Ligation of Self-Cleavage Products of a Neurospora
		Mitochondrial Plasmid Transcript," Proc. Natl. Acad. Sci. USA 88:8826-8830 (1991)
1 7	BL	Scuringe et al., "Chemical synthesis of biologically active oligoribonucleotides using -
		cyanoethyl protected ribonucleoside phosphoramidites," Nucl Acids Res. 18:5433-5441 (1990)
		Slim and Gait, "Configurationally Defined Phosphorothioate-Containing Oligoribonucleotides in
	BM	the Study of the Mechanism of Cleavage of Hammerhead Ribozymes," Nucleic Acids Research
		19:1183-1188 (1991)
	BN	Uhlenbeck, "A Small Catalytic Oligoribonucleotide," Nature 328:596-600 (1987)
	ВО	Usman and Cedergren, "Exploiting the chemical synthesis of RNA," TIBS 17:334-339 (1992)
	BP	Usman and McSwiggen, "Ch. 30 - Catalytic RNA (Ribozymes) as Drugs;" Annual Reports in
1-1		Medicinal Chemistry 30:285-294 (1995)
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	BQ	Ribonucleoside 3'-O-Phosphoramidites on a Controlled-Pore Glass Support: Synthesis of a 43-
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$\mathbf{W}$		IRNA," J. Am. Chem. Soc. 109:7845-7854 (1987)
W	BR	Usman et al., "Chemical modification of hammerhead ribozymes: activity and nuclease
		resistance," Nucleic Acids Syposium Series 31:163-164 (1994)

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EXAMINER:	/Jennifer Pitrak/	DATE CONSIDERED:	03/05/2007
	f reference is considered, whether or not citation formance and not considered. Include a copy of t		

Information Disclosure Statement - Section 9 PTO-1449

Pas	123 of 3	
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FORM PTO-1440

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
JP	BS	Usman et al., "Hamerhead ribozyme engineering," <u>Current Opinion in Structural Biology</u> 1:527-533(1996)
1	вт	Vinayak et al., "Advances in the chemical synthesis and purification of RNA," <u>Nucleic Acids</u> Symposium Series 33:123-125 (1995)
	BU	Wincott et al., "Synthesis, deprotection, analysis and purification of RNA and ribozymes," <u>Nucleic Acids Research</u> 23:2677-2684 (1995)
W	BV	Zaug et al., "The Tetrahymena Ribozyme Acts Like an RNA Restriction Endonuclease," Nature 324:429-433 (1986)